



# **PATENT APPLICATION**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	: E2	kaminer: P. T. Dang
KIYOFUMI SAKAGUCHI	)	_
	: G1	roup Art Unit: 2818
Application No.: 10/654,008	)	
	:	
Filed: September 4, 2003	)	
	:	
For: SUBSTRATE AND	)	
MANUFACTURING	:	
METHOD THEREFOR	) Ja	nuary 6, 2005
Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		

### REQUEST FOR ENTRY OF INFORMATION DISCLOSURE STATEMENT

Sir:

On August 12, 2004, Applicant filed an Information Disclosure Statement (copy enclosed) which was received by the Patent Office Mail Room date-stamped August 12, 2004. The Information Disclosure Statement has been captured in the image filewrapper and shows a PTO Mail Room date of August 12, 2004.

However, the Office Action mailed October 15, 2004, while acknowledging receipt of a later-filed Information Disclosure Statement received September 3, 2004, nevertheless, did not acknowledge receipt of the Information Disclosure Statement filed August 12, 2004. Accordingly, to complete the record, Applicant requests that the Examiner specifically acknowledge on that record the receipt of the August 12, 2004. Information Disclosure Statement and its entry into the record. It should be noted that

there is inadvertent typographical error in the originally-filed August 12, 2004 document.

In the Form PTO-1449 the document number "4,617,668" appears. That number should

have read --6,617,668--. In the Form PTO-1449 which is filed with this paper, the correct

patent number appears.

Accordingly, the Examiner is requested to formally consider the August 12, 2004 IDS and to return an initialed Form PTO-1449 to the undersigned. The paper is not a reply to the merits of the Official Action of October 15, 2004.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

Peter Saxon

Attorney for Applicant Registration No. 24,947

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza
New York, New York 10112-3801

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## PATENT APPLICATION

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In re Application of:	)	E ' D W D
KIYOFUMI SAKAGUCHI	;	Examiner: P. T. Dang
Application No.: 10/654,008	; )	Group Art Unit: 2818
Filed: September 4, 2003	; )	
For: SUBSTRATE AND MANUFACTURING METHOD THEREFOR	; ; )	August 12, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. An official action from the corresponding Taiwanese patent application is also enclosed together with Taiwan Patent Publication No. 437078 and its U.S. counterpart, U.S. Patent No. 6,342,433.

# **CONCLUSION**

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Peter Saxon

Attorney for Applicant Registration No. 24,947

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NY MAIN 445478v1

FÖRM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OF ICE  LIST OF REFERENCES CITED BY ARPLICANT(S)  (Use several sheets if necessary)		ATTY DOCKET NO. APPLICATION NO. 10/654,008			08				
		APPLICANT  KIYOFUMI SAKAGUCHI							
		FILING DATE September 4, 2003		GROUP <b>2818</b>					
			U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	6,342,433	1/02	Ohmi et al.	438	455				
	6,617,668	9/03	Koide et al.	257	615	5/00			
	5,403,771	4/95	Nishida et al.	438	97				
	5,402,989	4/95	Takasu, H.	257	506				
	4,851,078	7/89	Short et al.	438	406				
•									
<u>.                                    </u>				<u></u>					
		FC	PREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT			
	437078	5/01	Taiwan			Abstract			
	1	OTHER DOCUMENT(S	) (Including Author, Title, Date, Pertinent Pages, Etc.)		oc.				
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EVANINED			DATE CONSIDERED		· ··· · · -	· · · · · · · · · · · · · · · · · · ·			

Sheet\_1\_ of \_\_1\_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.